



SIF



UNIVERSITÀ  
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# First model-independent results from DAMA/LIBRA-phase2

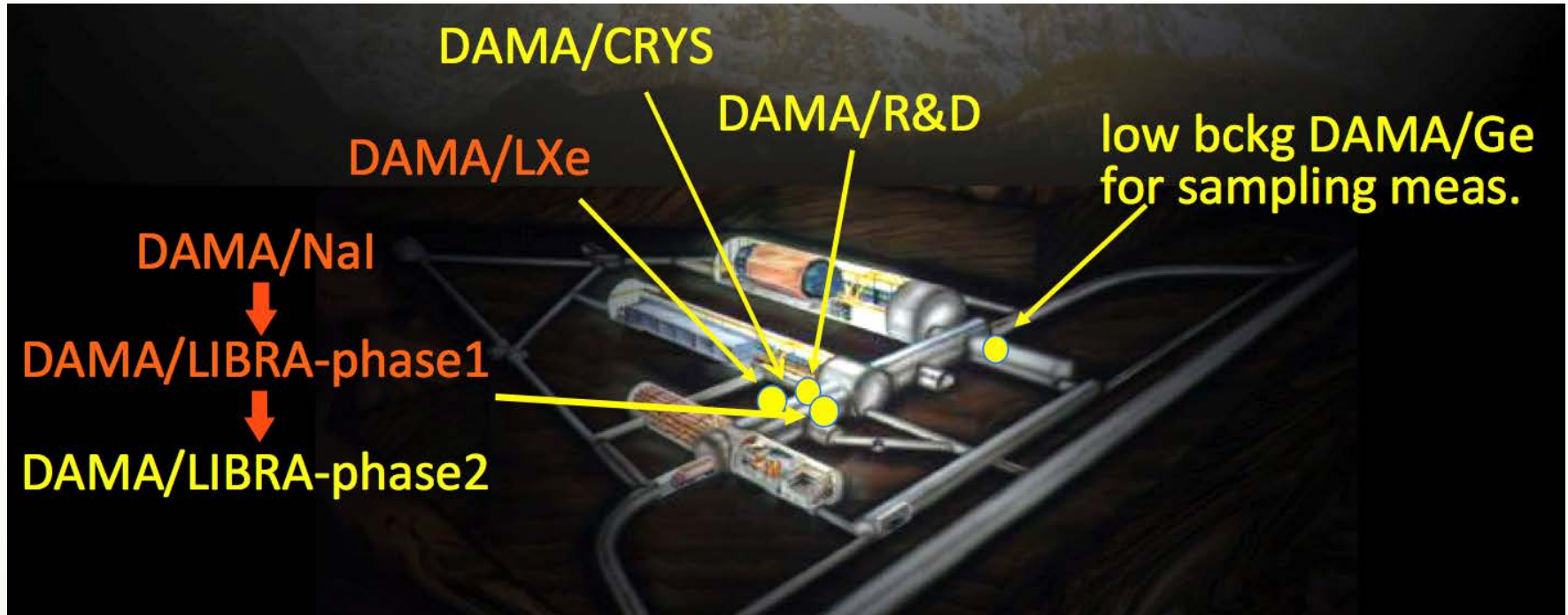


V. Caracciolo for the DAMA collaboration  
INFN – LNGS

104° Congresso Nazionale SIF  
Università della Calabria, 21/09/2018

# DAMA set-ups

an observatory for rare processes @ LNGS



## Collaboration:

Roma Tor Vergata, Roma La Sapienza, LNGS, IHEP/Beijing  
+ by-products and small scale expts.: INR-Kiev + other institutions  
+ neutron meas.: ENEA-Frascati, ENEA-Casaccia  
+ in some studies on  $\beta\beta$  decays (DST-MAE and Inter-Universities project):  
IIT Kharagpur and Ropar, India

web site: <http://people.roma2.infn.it/dama>

# Relic DM particles from primordial Universe

SUSY

(as neutralino or sneutrino in various scenarios)

the sneutrino in the Smith and Weiner scenario

sterile  $\nu$

electron interacting dark matter

a heavy  $\nu$  of the 4-th family

even a suitable particle not yet foreseen by theories

etc...

axion-like (light pseudoscalar and scalar candidate)

self-interacting dark matter

mirror dark matter

Kaluza-Klein particles (LKK)

heavy exotic candidates, as "4th family atoms", ...

Elementary Black holes, Planckian objects, Daemons

invisible axions,  $\nu$ 's



**multi-component non-baryonic DM?**

**What accelerators can do:**

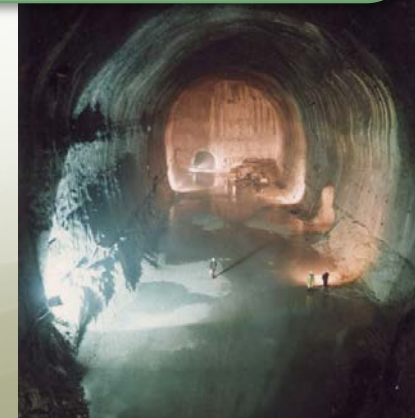
to demonstrate the existence of some of the possible DM candidates

**What accelerators cannot do:**

to credit that a certain particle is the Dark Matter solution or the "single" Dark Matter particle solution...

+ DM candidates and scenarios exist (even for neutralino candidate) on which accelerators cannot give any information

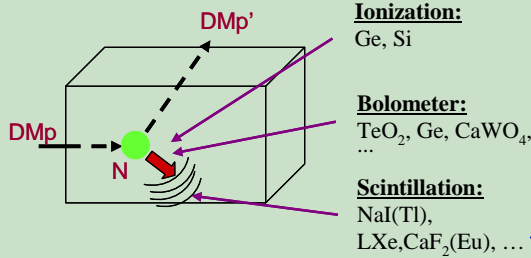
DM direct detection method using a model independent approach and a low-background widely-sensitive target material



# Some direct detection processes:

- Scatterings on nuclei

→ detection of nuclear recoil energy



- Inelastic Dark Matter:  $W + N \rightarrow W^* + N$

→ W has 2 mass states  $\chi_+$ ,  $\chi_-$  with  $\delta$  mass splitting

→ Kinematical constraint for the inelastic scattering of  $\chi_-$  on a nucleus

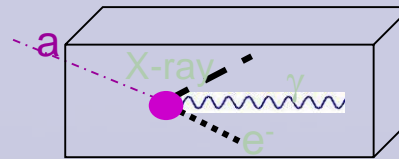
$$\frac{1}{2} \mu v^2 \geq \delta \Leftrightarrow v \geq v_{thr} = \sqrt{\frac{2\delta}{\mu}}$$

- Excitation of bound electrons in scatterings on nuclei

→ detection of recoil nuclei + e.m. radiation

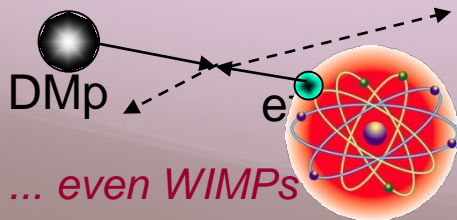
- Conversion of particle into e.m. radiation

→ detection of  $\gamma$ , X-rays,  $e^-$



- Interaction only on atomic electrons

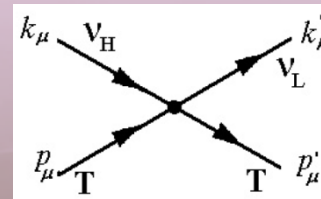
→ detection of e.m. radiation



- Interaction of light DMp (LDM) on  $e^-$  or nucleus with production of a lighter particle

→ detection of electron/nucleus recoil energy

e.g. sterile  $\nu$



e.g. signals from these candidates are **completely lost** in experiments based on “rejection procedures” of the e.m. component of their rate

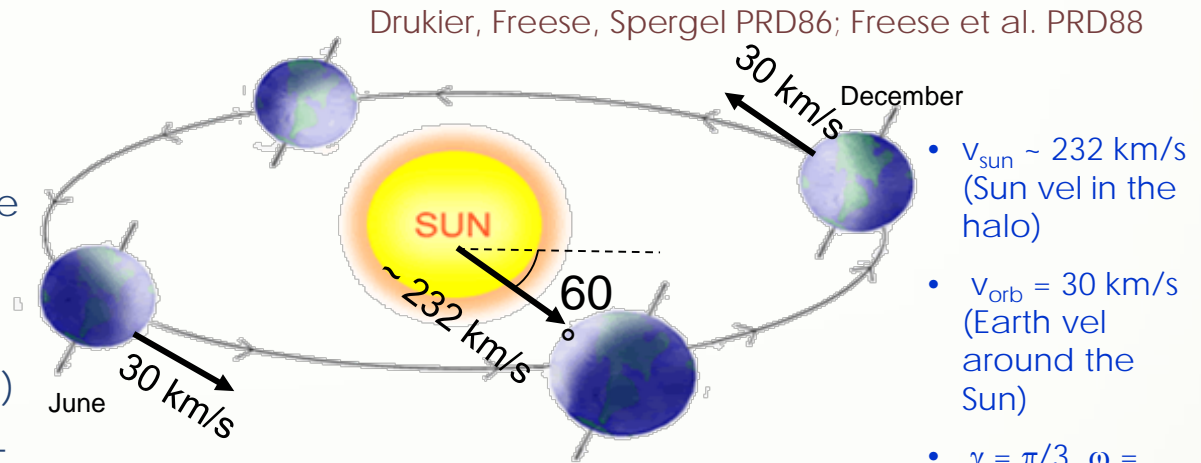
... also other ideas ...

# The annual modulation: a model independent signature for the investigation of DM particles component in the galactic halo

With the present technology, the annual modulation is the main model independent signature for the DM signal. Although the modulation effect is expected to be relatively small a suitable large-mass, low-radioactive set-up with an efficient control of the running conditions can point out its presence.

## Requirements:

- 1) Modulated rate according cosine
- 2) In low energy range
- 3) With a proper period (1 year)
- 4) With proper phase (about 2 June)
- 5) Just for single hit events in a multi-detector set-up
- 6) With modulation amplitude in the region of maximal sensitivity must be <7% for usually adopted halo distributions, but it can be larger in case of some possible scenarios



$$v_{\oplus}(t) = v_{\text{sun}} + v_{\text{orb}} \cos\gamma \cos[\omega(t-t_0)]$$

$$S_k[\eta(t)] = \int_{\Delta E_k} \frac{dR}{dE_R} dE_R \cong S_{0,k} + S_{m,k} \cos[\omega(t-t_0)]$$

the DM annual modulation signature has a different origin and peculiarities (e.g. the phase) than those effects correlated with the seasons

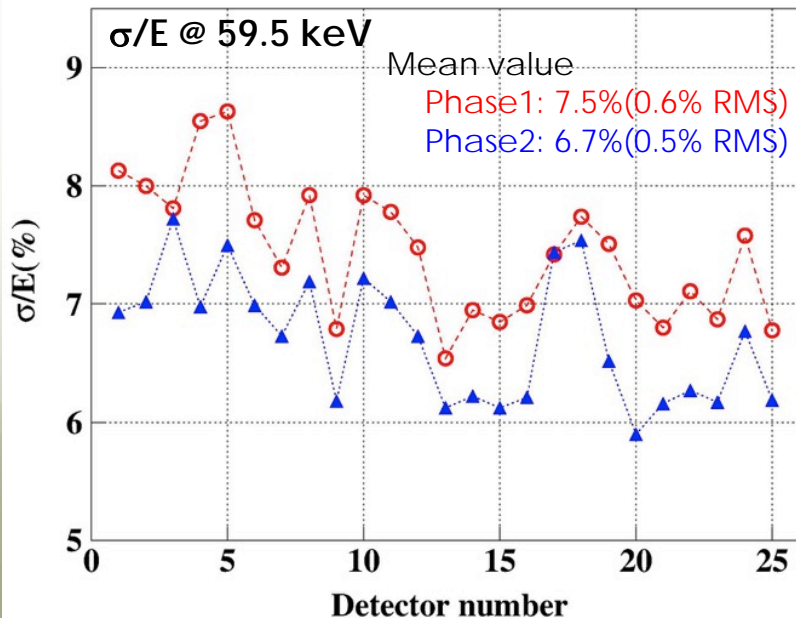
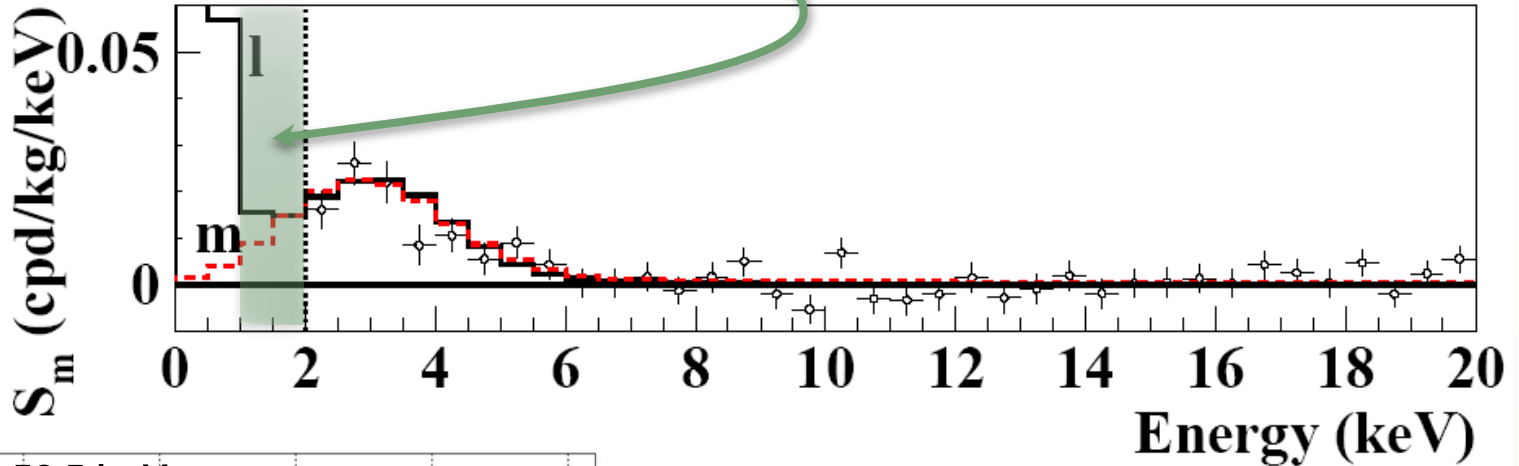
To mimic this signature, spurious effects and side reactions must not only - obviously - be able to account for the whole observed modulation amplitude, but also to satisfy contemporaneously all the requirements

# DAMA/LIBRA-phase2

JINST 7(2012)03009

Lowering software energy threshold below 2 keV:

- to study the nature of the particles and features of astrophysical, nuclear and particle physics aspects, and to investigate 2<sup>nd</sup> order effects
- special data taking for *other rare processes*



The contaminations:

	<sup>226</sup> Ra (Bq/kg)	<sup>235</sup> U (mBq/kg)	<sup>228</sup> Ra (Bq/kg)	<sup>228</sup> Th (mBq/kg)	<sup>40</sup> K (Bq/kg)
Mean Contamination	0.43	47	0.12	83	0.54
Standard Deviation	0.06	10	0.02	17	0.16

The light responses:

DAMA/LIBRA-phase1: 5.5 – 7.5 ph.e./keV  
 DAMA/LIBRA-phase2: 6-10 ph.e./keV

The resolution:

# DAMA/LIBRA-phase2 data taking



Second upgrade at end of 2010: all PMTs replaced with new ones of higher Q.E.

Energy resolution @ 60 keV mean value:

prev. PMTs 7.5% (0.6% RMS)

new HQE PMTs 6.7% (0.5% RMS)



Annual Cycles	Period	Mass (kg)	Exposure (kg day)	( $\alpha-\beta^2$ )
I	Dec 23, 2010 - Sept. 9, 2011	commissioning		
II	Nov. 2, 2011 - Sept. 11, 2012	242.5	62917	0.519
III	Oct. 8, 2012 - Sept. 2, 2013	242.5	60586	0.534
IV	Sept. 8, 2013 - Sept. 1, 2014	242.5	73792	0.479
V	Sept. 1, 2014 - Sept. 9, 2015	242.5	71180	0.486
VI	Sept. 10, 2015 - Aug. 24, 2016	242.5	67527	0.522
VII	Sept. 7, 2016 - Sept. 25, 2017	242.5	75135	0.480

✓ Fall 2012: new preamplifiers installed + special trigger modules.

✓ Calibrations 6 a.c.:  
~  $1.3 \times 10^8$  events from sources

✓ Acceptance window eff. 6 a.c.: ~  $3.4 \times 10^6$  events (~  $1.4 \times 10^5$  events/keV)

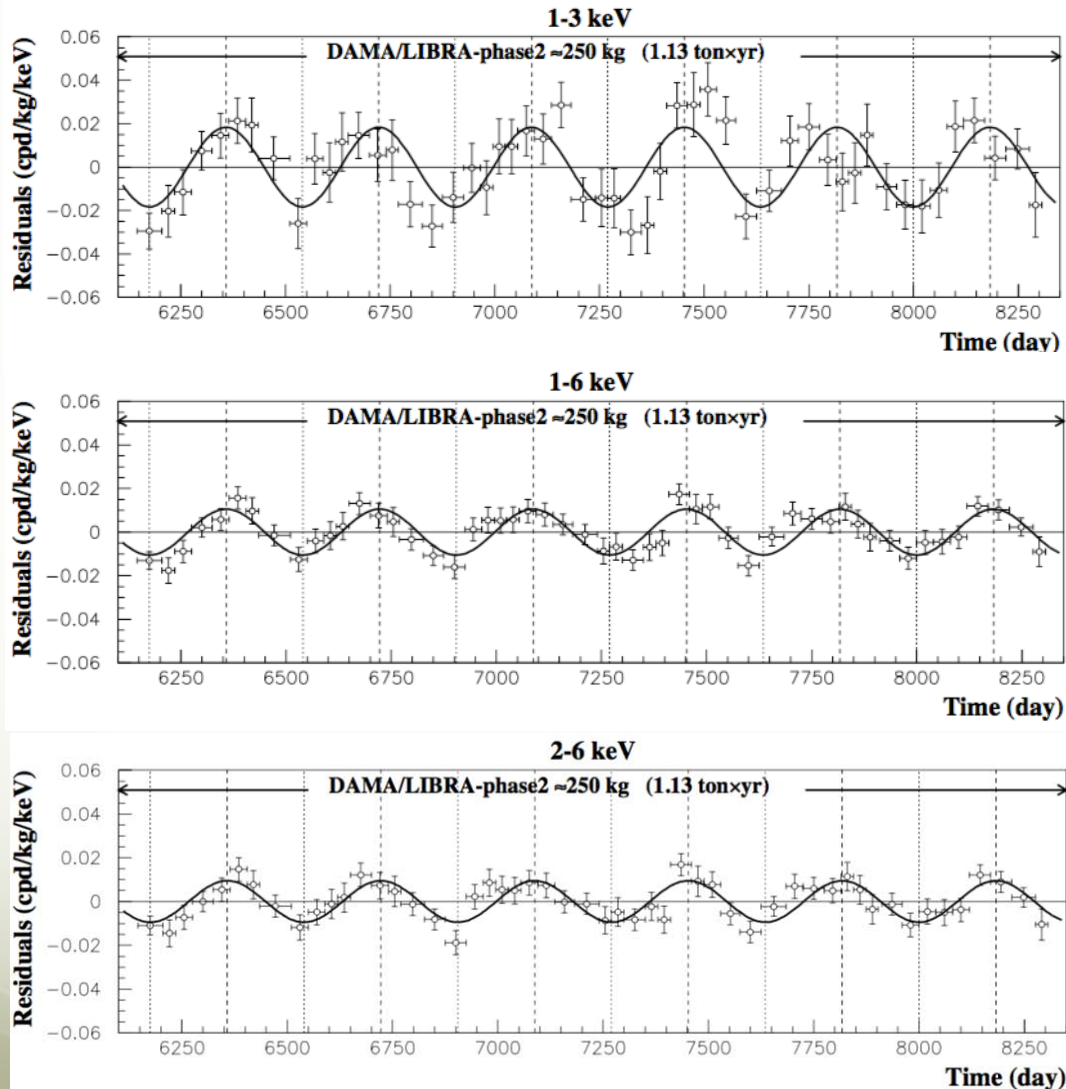
Exposure first data release of DAMA/LIBRA-phase2: **1.13 ton × yr**

Exposure DAMA/NaI+DAMA/LIBRA-phase1+phase2: **2.46 ton × yr**

# DM model-independent Annual Modulation Result

experimental residuals of the single-hit scintillation events rate vs time and energy

DAMA/LIBRA-phase2 (1.13 ton × yr)



Absence of modulation? No

- 1-3 keV:  $\chi^2/\text{dof}=127/52 \Rightarrow P(A=0) = 3 \times 10^{-8}$
- 1-6 keV:  $\chi^2/\text{dof}=150/52 \Rightarrow P(A=0) = 2 \times 10^{-11}$
- 2-6 keV:  $\chi^2/\text{dof}=116/52 \Rightarrow P(A=0) = 8 \times 10^{-7}$

Fit on DAMA/LIBRA-phase2

$\text{Acos}[\omega(t-t_0)]$  ;

continuous lines:  $t_0 = 152.5 \text{ d}$ ,  $T = 1.00 \text{ y}$

**1-3 keV**

$A=(0.0184 \pm 0.0023) \text{ cpd/kg/keV}$

$\chi^2/\text{dof} = 61.3/51$  **8.0  $\sigma$  C.L.**

**1-6 keV**

$A=(0.0105 \pm 0.0011) \text{ cpd/kg/keV}$

$\chi^2/\text{dof} = 50.0/51$  **9.5  $\sigma$  C.L.**

**2-6 keV**

$A=(0.0095 \pm 0.0011) \text{ cpd/kg/keV}$

$\chi^2/\text{dof} = 42.5/51$  **8.6  $\sigma$  C.L.**

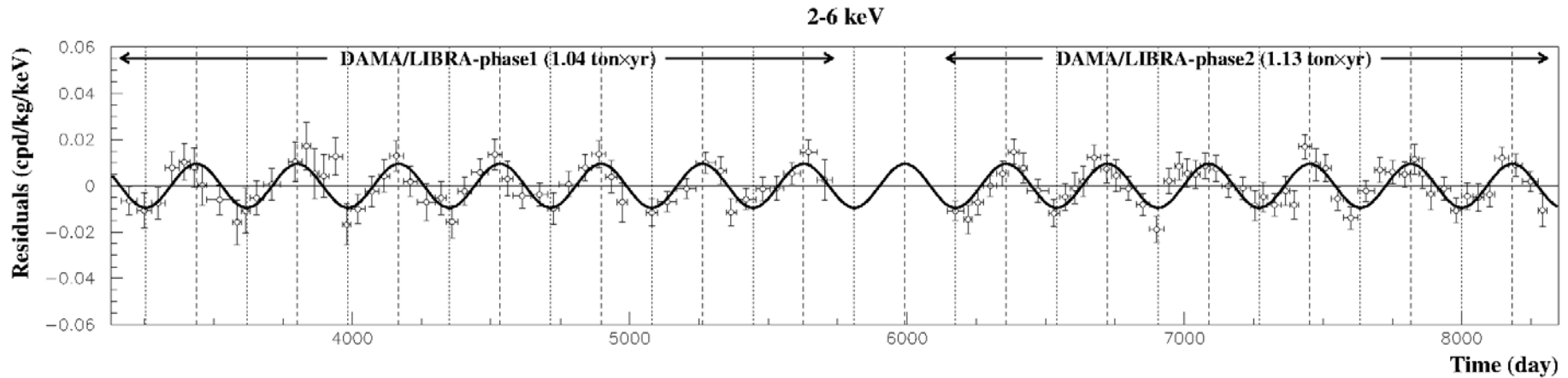
The data of DAMA/LIBRA-phase2 favor the presence of a modulated behavior with proper features at 9.5 $\sigma$  C.L.



# DM model-independent Annual Modulation Result

experimental residuals of the single-hit scintillation events rate vs time and energy

DAMA/LIBRA-phase1+DAMA/LIBRA-phase2 (2.17 ton × yr)



Absence of modulation? No

• 2-6 keV:  $\chi^2/\text{dof}=199.3/102 \Rightarrow P(A=0) = 2.9 \times 10^{-8}$

Fit on DAMA/LIBRA-phase1+

DAMA/LIBRA-phase2

$\text{Acos}[\omega(t-t_0)]$  ;

continuous lines:  $t_0 = 152.5 \text{ d}$ ,  $T = 1.00 \text{ y}$

**2-6 keV**

$A = (0.0095 \pm 0.0008) \text{ cpd/kg/keV}$

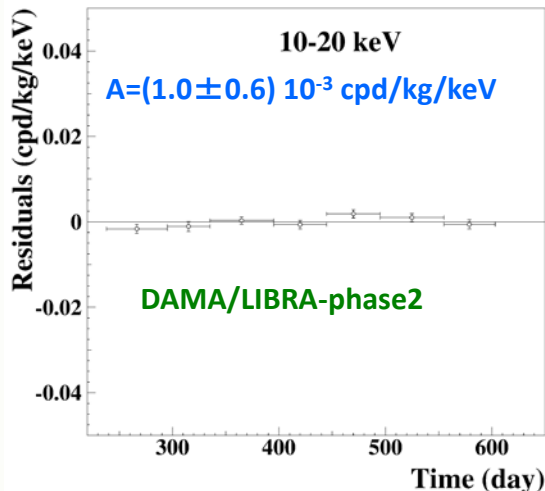
$\chi^2/\text{dof} = 71.8/101$  **11.9 $\sigma$  C.L.**

The data of DAMA/LIBRA-phase1 +DAMA/LIBRA-phase2 favor the presence of a modulated behavior with proper features at 11.9  $\sigma$  C.L.

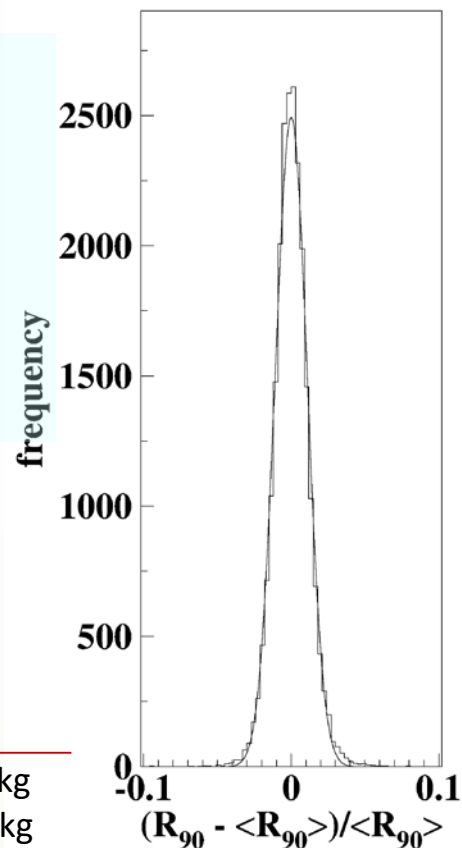
# Rate behaviour above 6 keV

DAMA/LIBRA-phase2

## • No Modulation above 6 keV



Mod. Ampl. (6-14 keV): cpd/kg/keV  
 (0.0032 ± 0.0017) DAMA/LIBRA-ph2\_2  
 (0.0016 ± 0.0017) DAMA/LIBRA-ph2\_3  
 (0.0024 ± 0.0015) DAMA/LIBRA-ph2\_4  
 -(0.0004 ± 0.0015) DAMA/LIBRA-ph2\_5  
 (0.0001 ± 0.0015) DAMA/LIBRA-ph2\_6  
 (0.0015 ± 0.0014) DAMA/LIBRA-ph2\_7  
 → statistically consistent with zero



$\sigma \approx 1\%$ , fully accounted by statistical considerations

## • No modulation in the whole energy spectrum:

studying integral rate at higher energy,  $R_{90}$

- $R_{90}$  percentage variations with respect to their mean values for single crystal in the DAMA/LIBRA running periods
- Fitting the behaviour with time, adding a term modulated with period and phase as expected for DM particles:

**consistent with zero**

+ if a modulation present in the whole energy spectrum at the level found in the lowest energy region →  $R_{90} \sim \text{tens cpd/kg}$

→  $\sim 100 \sigma$  far away

Period	Mod. Ampl.
DAMA/LIBRA-ph2_2	(0.12±0.14) cpd/kg
DAMA/LIBRA-ph2_3	-(0.08±0.14) cpd/kg
DAMA/LIBRA-ph2_4	(0.07±0.15) cpd/kg
DAMA/LIBRA-ph2_5	-(0.05±0.14) cpd/kg
DAMA/LIBRA-ph2_6	(0.03±0.13) cpd/kg
DAMA/LIBRA-ph2_7	-(0.09±0.14) cpd/kg

**No modulation above 6 keV**

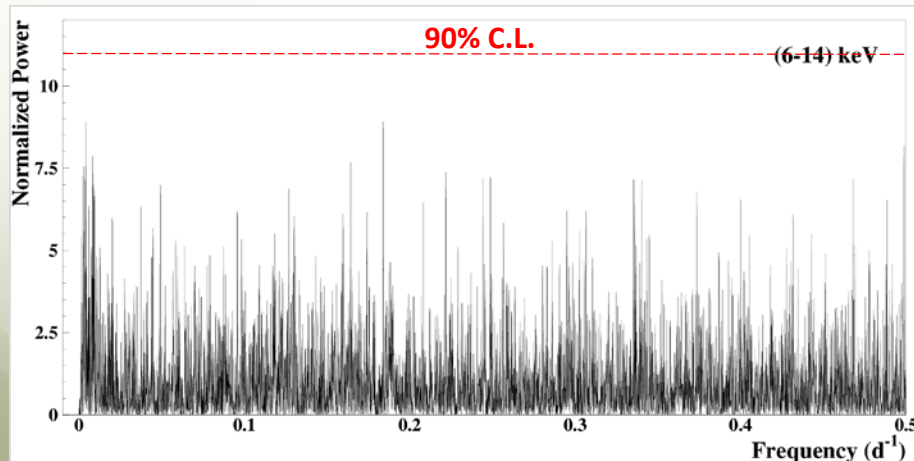
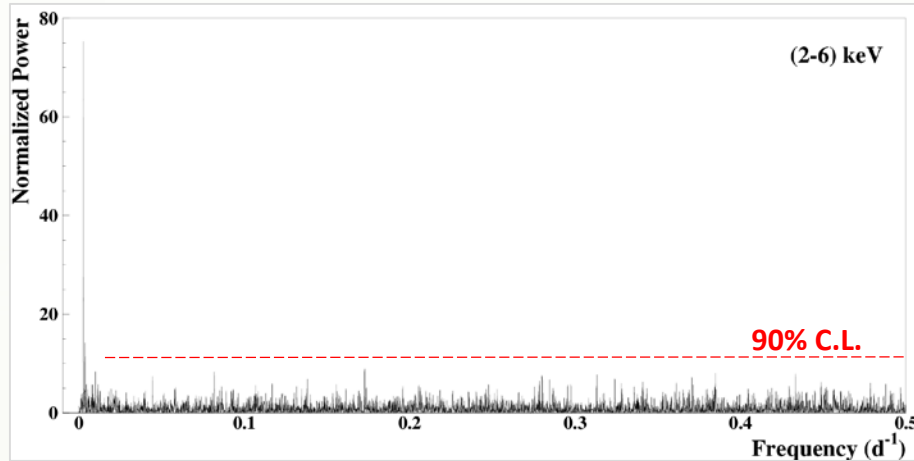
**This accounts for all sources of bckg and is consistent with the studies on the various components**

# The analysis in frequency

(according to PRD75 (2007) 013010)

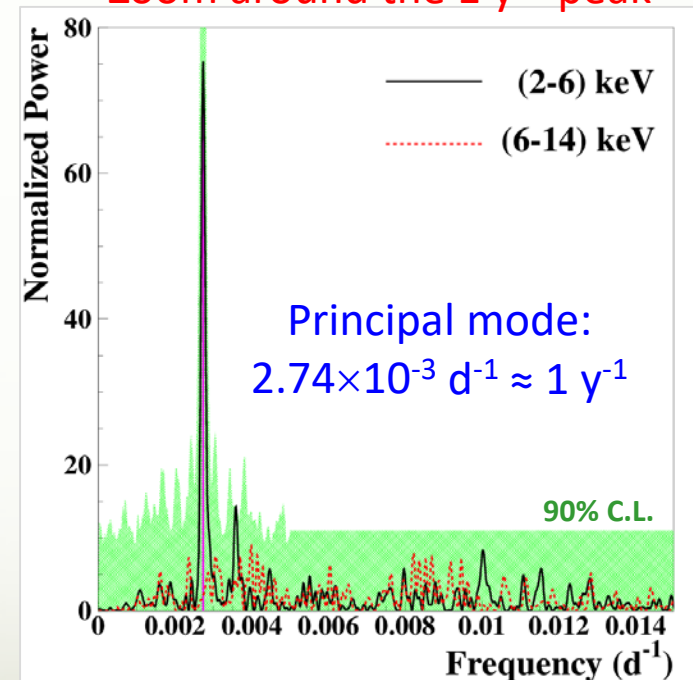
To perform the Fourier analysis of the data in a wide region of frequency, the single-hit scintillation events have been grouped in 1 day bins

The whole power spectra up to the Nyquist



DAMA/NaI + DAMA/LIBRA-(ph1+ph2) (20 yr)  
total exposure: 2.46 ton $\times$ yr

Zoom around the  $1 y^{-1}$  peak



Green area: 90% C.L. region calculated taking into account the signal in (2-6) keV

Clear annual modulation in (2-6) keV + only aliasing peaks far from signal region

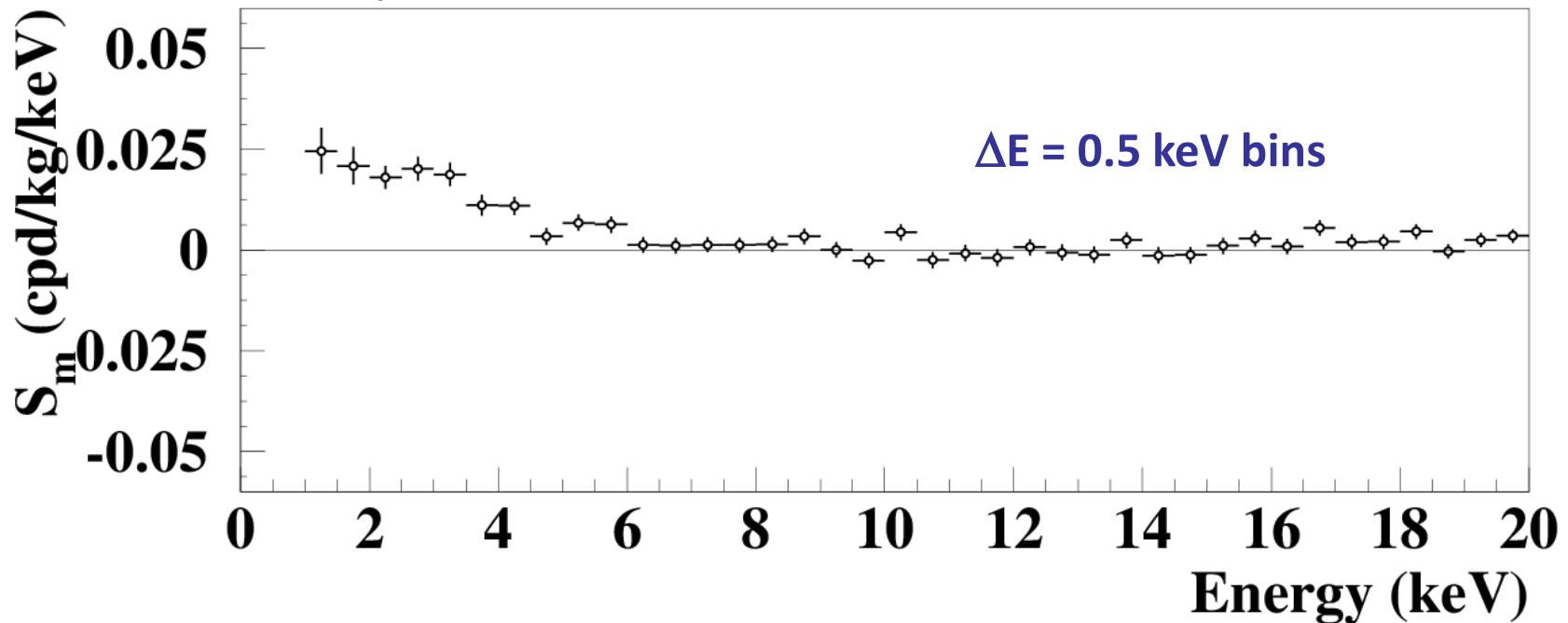
# Energy distribution of the modulation amplitudes

Max-likelihood analysis

$$R(t) = S_0 + S_m \cos[\omega(t - t_0)]$$

here  $T = 2\pi/\omega = 1$  yr and  $t_0 = 152.5$  day

DAMA/NaI + DAMA/LIBRA-phase1  
+ DAMA/LIBRA-phase2 (2.46 ton×yr)



A clear modulation is present in the (1-6) keV energy interval, while  $S_m$  values compatible with zero are present just above

- The  $S_m$  values in the (6–14) keV energy interval have random fluctuations around zero with  $\chi^2$  equal to 19.0 for 16 degrees of freedom (upper tail probability 27%).
- In (6–20) keV  $\chi^2/\text{dof} = 42.6/28$  (upper tail probability 4%). The obtained  $\chi^2$  value is rather large due mainly to two data points, whose centroids are at 16.75 and 18.25 keV, far away from the (1–6) keV energy interval. The P-values obtained by excluding only the first and either the points are 11% and 25%.

# Stability parameters of DAMA/LIBRA–phase2

Modulation amplitudes obtained by fitting the time behaviours of main running parameters, acquired with the production data, when including a DM-like modulation

Running conditions stable at a level better than 1% also in the new running periods

	DAMA/LIBRA-phase2_2	DAMA/LIBRA-phase2_3	DAMA/LIBRA-phase2_4	DAMA/LIBRA-phase2_5	DAMA/LIBRA-phase2_6	DAMA/LIBRA-phase2_7
Temperature (°C)	$(0.0012 \pm 0.0051)$	$-(0.0002 \pm 0.0049)$	$-(0.0003 \pm 0.0031)$	$(0.0009 \pm 0.0050)$	$(0.0018 \pm 0.0036)$	$-(0.0006 \pm 0.0035)$
Flux N <sub>2</sub> (l/h)	$-(0.15 \pm 0.18)$	$-(0.02 \pm 0.22)$	$-(0.02 \pm 0.12)$	$-(0.02 \pm 0.14)$	$-(0.01 \pm 0.10)$	$-(0.01 \pm 0.16)$
Pressure (mbar)	$(1.1 \pm 0.9) \times 10^{-3}$	$(0.2 \pm 1.1) \times 10^{-3}$	$(2.4 \pm 5.4) \times 10^{-3}$	$(0.6 \pm 6.2) \times 10^{-3}$	$(1.5 \pm 6.3) \times 10^{-3}$	$(7.2 \pm 8.6) \times 10^{-3}$
Radon (Bq/m <sup>3</sup> )	$(0.015 \pm 0.034)$	$-(0.002 \pm 0.050)$	$-(0.009 \pm 0.028)$	$-(0.044 \pm 0.050)$	$(0.082 \pm 0.086)$	$(0.06 \pm 0.11)$
Hardware rate above single ph.e. (Hz)	$-(0.12 \pm 0.16) \times 10^{-2}$	$(0.00 \pm 0.12) \times 10^{-2}$	$-(0.14 \pm 0.22) \times 10^{-2}$	$-(0.05 \pm 0.22) \times 10^{-2}$	$-(0.06 \pm 0.16) \times 10^{-2}$	$-(0.08 \pm 0.17) \times 10^{-2}$

All the measured amplitudes well compatible with zero

+ none can account for the observed effect

(to mimic such signature, spurious effects and side reactions must not only be able to account for the whole observed modulation amplitude, but also simultaneously satisfy all the 6 requirements)

# Summary of the results obtained in the additional investigations of possible systematics or side reactions – DAMA/LIBRA

NIMA592(2008)297, EPJC56(2008)333, J. Phys. Conf. ser. 203(2010)012040, arXiv:0912.0660, S.I.F. Atti Conf.103(211), Can. J. Phys. 89 (2011) 11, Phys.Proc.37(2012)1095, EPJC72(2012)2064, arxiv:1210.6199 & 1211.6346, IJMPA28(2013)1330022, EPJC74(2014)3196, IJMPA31(2017)issue31

Source	Main comment	Cautious upper limit (90%C.L.)
RADON	Sealed Cu box in HP Nitrogen atmosphere, 3-level of sealing, etc.	$<2.5 \times 10^{-6}$ cpd/kg/keV
TEMPERATURE	Installation is air conditioned+ detectors in Cu housings directly in contact with multi-ton shield → huge heat capacity + T continuously recorded	$<10^{-4}$ cpd/kg/keV
NOISE	Effective full noise rejection near threshold	$<10^{-4}$ cpd/kg/keV
ENERGY SCALE	Routine + intrinsic calibrations	$<1-2 \times 10^{-4}$ cpd/kg/keV
EFFICIENCIES	Regularly measured by dedicated calibrations	$<10^{-4}$ cpd/kg/keV
BACKGROUND	No modulation above 6 keV; no modulation in the (2-6) keV <i>multiple-hits</i> events; this limit includes all possible sources of background	$<10^{-4}$ cpd/kg/keV
SIDE REACTIONS	Muon flux variation measured at LNGS	$<3 \times 10^{-5}$ cpd/kg/keV



+ they cannot satisfy all the requirements of annual modulation signature

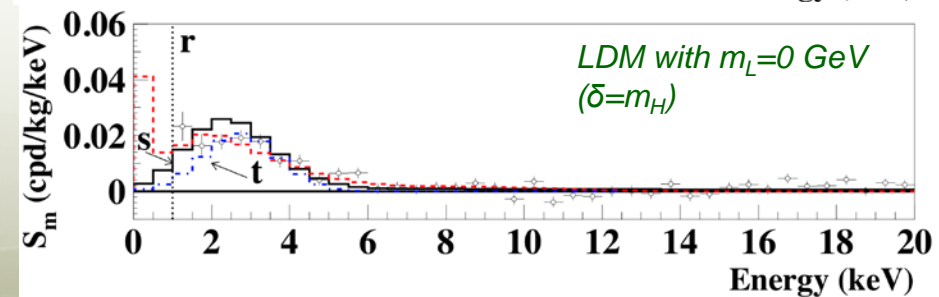
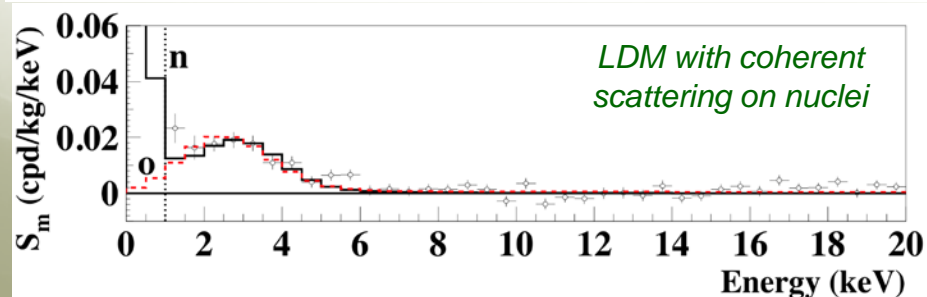
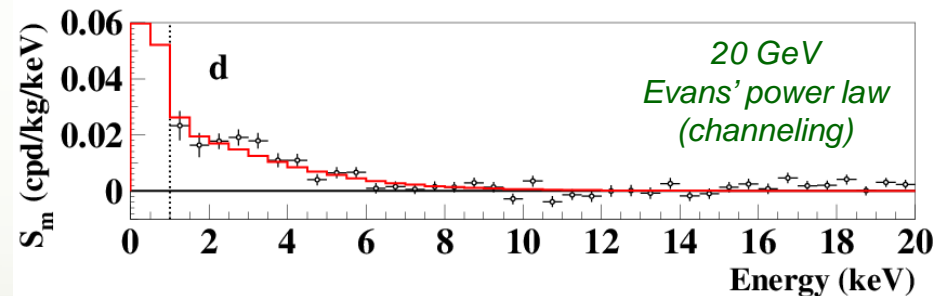
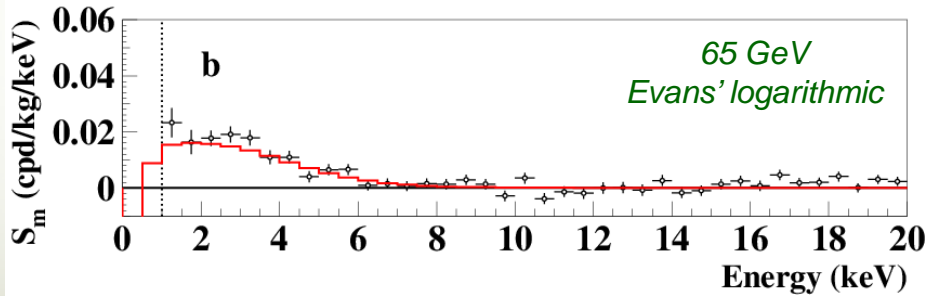
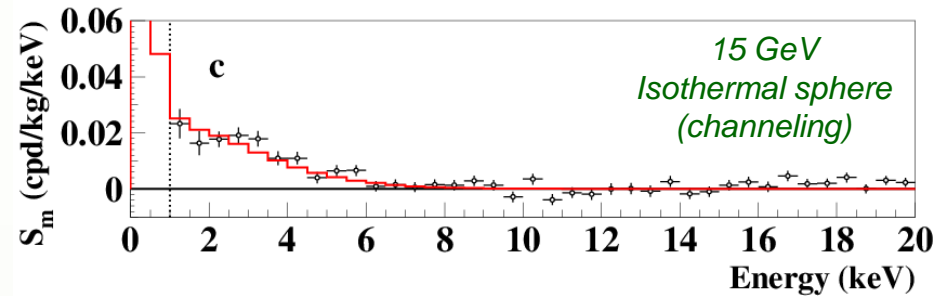
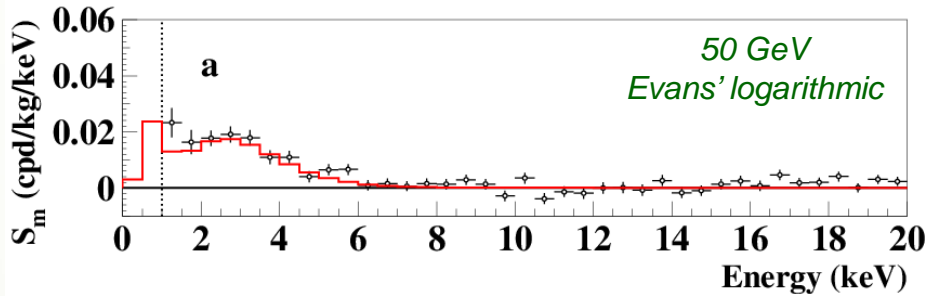


Thus, they cannot mimic the observed annual modulation effect

# Model-independent evidence by DAMA/NaI and DAMA/LIBRA-ph1, -ph2

well compatible with several candidates in many astrophysical, nuclear and particle physics scenarios

Just few examples of interpretation of the annual modulation in terms of candidate particles in some scenarios

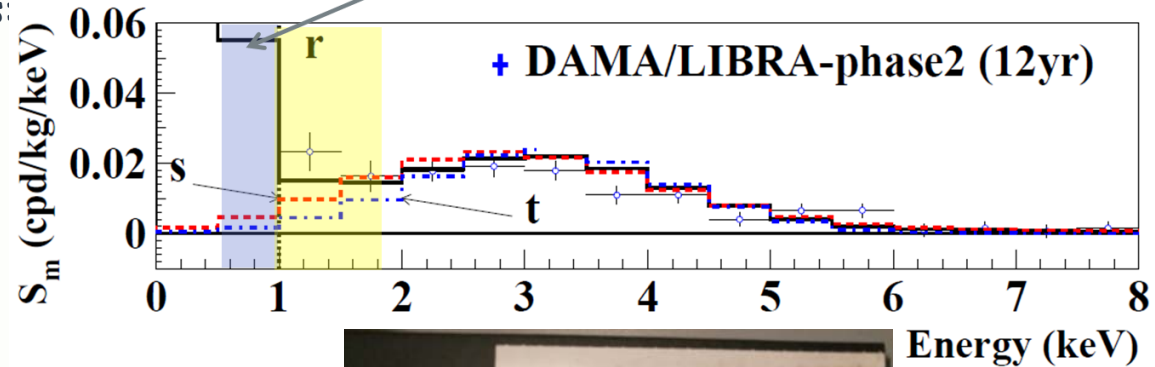


# Running phase2 → phase3 with software energy threshold below 1 keV

Enhancing sensitivities for DM corollary aspects, other DM features, second order effects and other rare processes:

Esempio:

+ DAMA/LIBRA-ph3 (hyp.: 6 yr,  $E_{thr}=0.5$  keV)



- The light collection of the detectors can further be improved
- Light yields and the energy thresholds will improve accordingly
- The electronics can be improved too

R&D towards possible DAMA/LIBRA-phase3:

- ① new development of high Q.E. PMTs with increased radio-purity
- ② new protocols for modifications of the detectors possible; but preferable the alternative strategy: new miniaturized low background pre directly installed on the teflon supports of the voltage dividers. Tests on this solution (which exploits also previous expertise of dr. Razeto) have been made and presented in CSN2; materials selection under investigation. The last solution allows reaching the proposed aim in an alternative way with respect to modify the detectors, which is more delicate and expensive approach

The presently-reached metallic PMTs features:

- Q.E. around 35-40% @ 420 nm (NaI(Tl) light)
- Radio-purity at level of 5 mBq/PMT ( $^{40}\text{K}$ ), 3-4 mBq/PMT ( $^{232}\text{Th}$ ), 3-4 mBq/PMT ( $^{238}\text{U}$ ), 1 mBq/PMT ( $^{226}\text{Ra}$ ), 2 mBq/PMT ( $^{60}\text{Co}$ ).

4 PMTs from the dedicated R&D with HAMAMATSU already at hand



# Conclusions

- Model-independent positive evidence for the presence of DM particles in the galactic halo at **12.9 $\sigma$**  C.L. (20 independent annual cycles with 3 different set-ups: 2.46 ton  $\times$  yr)
- Modulation parameters determined with increasing precision
- New investigations on different peculiarities of the DM signal exploited in progress
- Full sensitivity to many kinds of DM candidates and interactions types (both inducing recoils and/or e.m. radiation), **full sensitivity to low and high mass candidates**



- DAMA/LIBRA–phase2 **continuing data taking**
- DAMA/LIBRA–phase3 **R&D in progress**
- R&D for a possible DAMA/1ton - full sensitive mass - set-up, proposed to INFN by DAMA since 1996, **continuing at some extent** as well as **some other R&Ds**
- New corollary analyses **in progress**
- Continuing investigations of **rare processes** other than DM